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THE ARIZONA CORPORATION COMMISSION
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AZ CORP COMMISSION
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In the matter of:

CONCORDIA FINANCING COMPANY,
LTD, a/k/a "CONCORDIA FINANCE,"

ER FINANCIAL & ADVISORY SERVICES,
L.L.C.,

LANCE MICHAEL BERSCH, and

DAVID JOHN WANZEK and LINDA
WANZEK, husband and wife,

Respondents.

DOCKET NO. S-20906A-14-0063

**RESPONDENT CONCORDIA
FINANCE'S UPDATED LIST OF
WITNESSES AND EXHIBITS**

Arizona Corporation Commission
DOCKETED

JUL 21 2015

DOCKETED BY	MLB
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Pursuant to the 15th Procedural Order in this docket, Respondent Concordia Financing Company, Ltd., a/k/a Concordia Finance ("Concordia"), through undersigned counsel, submits this updated List of Witnesses and Exhibits. We apologize for the lateness of this disclosure, but due to document corruption problems we were unable to redact and save the document for disclosure any earlier than today.

WITNESSES

1 to 9 – No Change.

EXHIBITS

1 to 6 – No Change.

7. Concordia Investor Transaction Accounts.

BASKIN RICHARDS PLC
2901 NORTH CENTRAL AVENUE
SUITE 1150
PHOENIX, ARIZONA 85012
TELEPHONE NO 602-812-7979
FACSIMILE 602-595-7800

1 Concordia will utilize as documentary evidence in this proceeding documents that have been
2 previously produced to the Division and the Department of Corporations of the State of California
3 at any time. Concordia will also utilize those documents produced by the Division and the ER
4 Respondents. Concordia reserves the right to use such additional documents upon immediate
5 notification and production to the Division, if such documents are found or created by Concordia
6 before the hearing. In addition, Concordia will use documents received from subpoenas and third-
7 parties which have been produced to the Division or are enclosed herewith. Concordia reserves the
8 right to use such additional documents as are furnished pursuant to any other third-party subpoena
9 or such other sources that may subsequently be received.
10

11 Concordia reserves the right to use documents not identified above in cross-examination or
12 rebuttal. Concordia also reserves the right to supplement this document exchange based upon receipt
13 of documents or information from the Division or third-parties as well as documents which any of
14 the parties have agreed to produce or have been ordered to produce, but have not yet done so.
15

16 RESPECTFULLY SUBMITTED this 21st day of July, 2015.

17 BASKIN RICHARDS PLC
18

19 By Alan S. Baskin
20 Alan S. Baskin
21 David E. Wood
22 2901 North Central Avenue, Suite 1150
23 Phoenix, Arizona 85012
24 Attorneys for Respondent
25 Concordia Finance
26
27

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1 ORIGINAL and thirteen copies of the foregoing
2 filed this 21st day of July, 2015 with:

3 Docket Control
4 Arizona Corporation Commission
5 1200 West Washington Street
6 Phoenix, AZ 85007

7 COPY of the foregoing hand-delivered
8 this 17th day of July, 2015 to:

9 Mark H. Preny, Esq.
10 Administrative Law Judge
11 Hearing Division
12 Arizona Corporation Commission
13 1200 W. Washington Street
14 Phoenix, AZ 85007

15 Matthew J. Neubert
16 Director of Securities
17 Securities Division
18 Arizona Corporation Commission
19 1300 W. Washington Street, 3rd Floor
20 Phoenix, AZ 85007

21 Hearing Officer
22 Hearing Division
23 Arizona Corporation Commission
24 1200 W. Washington Street
25 Phoenix, AZ 85007

26 COPY of the foregoing e-mailed/mailed
27 this 21st day of July, 2015 to:

James Burgess
Securities Division
Arizona Corporation Commission
1300 W. Washington, 3rd Floor
Phoenix, AZ 85007

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Polsinelli PC
One E. Washington St., Suite 1200
Phoenix, AZ 85004
Attorneys for Respondents ER,
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1 Timothy J. Sabo
2 Snell & Wilmer LLP
3 400 E. Van Buren St.
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5 Attorneys for Respondents ER,
6 Lance Bersch, David and Linda Wanzek

Katie Bredlow

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